

Equity Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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2nd Quarter, 2022

April 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Marketable Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1709	100	1380	80.75	86	5.03	222	12.99	21	1.23

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	630	29.61	545	39.49	26	28.26	53	8.35	6	28.57	0
NASDAQ Execution Services, LLC(NSDQ)	372	17.48	0	0	4	4.35	368	57.95	0	0	0
Jane Street Capital, LLC(INST)	359	16.87	310	22.46	26	28.26	23	3.62	0	0	0
VIRTU Americas, LLC(NITE)	343	16.12	292	21.16	15	16.3	25	3.94	11	52.38	0
G1 Execution Services, LLC(ETMM)	225	10.57	202	14.64	9	9.78	10	1.57	4	19.05	0
New York Stock Exchange(NYSE)	101	4.75	0	0	9	9.78	92	14.49	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	50	2.35	0	0	0	0	50	7.87	0	0	0
Two Sigma Securities, LLC(SOHO)	25	1.17	21	1.52	3	3.26	1	0.16	0	0	0
Members Exchange(MEMX)	13	0.61	0	0	0	0	13	2.05	0	0	0
UBS Securities, LLC(UBSS)	10	0.47	10	0.72	0	0	0	0	0	0	0

Material Aspects:

April 2022

Non S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	4461	100	4174	93.57	36	0.81	210	4.71	41	0.92

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	1586	33.17	1527	36.58	12	26.09	40	7.87	7	13.21	0
VIRTU Americas, LLC(NITE)	998	20.87	947	22.69	9	19.57	13	2.56	29	54.72	0
Jane Street Capital, LLC(JNST)	986	20.62	963	23.07	6	13.04	17	3.35	0	0	0
G1 Execution Services, LLC(ETMM)	666	13.93	654	15.67	6	13.04	6	1.18	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	126	2.64	0	0	3	6.52	123	24.21	0	0	0
NYSE Arca(ARCA)	110	2.3	0	0	3	6.52	107	21.06	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	90	1.88	0	0	3	6.52	87	17.13	0	0	0
New York Stock Exchange(NYSE)	69	1.44	0	0	1	2.17	68	13.39	0	0	0
Two Sigma Securities, LLC(SOHO)	69	1.44	67	1.61	1	2.17	1	0.2	0	0	0
Members Exchange(MEMX)	39	0.82	0	0	0	0	39	7.68	0	0	0

Material Aspects:

April 2022

Option Contracts

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
Total Non-Directed Orders	51	100	18	35.29	5	9.8	28	54.9	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	21	41.18	7	38.89	2	40	12	42.86	0	0	0
Wolverine Execution Services LLC(WEXX)	14	27.45	0	0	0	0	14	50	0	0	0
Dash Financial Technologies LLC(DASH)	8	15.69	5	27.78	1	20	2	7.14	0	0	0
Morgan Stanley & Company LLC(MSCO)	4	7.84	3	16.67	1	20	0	0	0	0	0
Susquehanna Capital Group(SUSQ)	4	7.84	3	16.67	1	20	0	0	0	0	0

Material Aspects:

May 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1515	100	1362	89.9	30	1.98	114	7.52	9	0.59

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	565	33.02	517	37.96	11	35.48	33	10.68	4	44.44	0
VIRTU Americas, LLC(NITE)	350	20.46	316	23.2	5	16.13	24	7.77	5	55.56	0
G1 Execution Services, LLC(ETMM)	282	16.48	265	19.46	3	9.68	14	4.53	0	0	0
Jane Street Capital, LLC(INST)	267	15.6	245	17.99	8	25.81	14	4.53	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	152	8.88	0	0	4	12.9	148	47.9	0	0	0
New York Stock Exchange(NYSE)	53	3.1	0	0	0	0	53	17.15	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	18	1.05	0	0	0	0	18	5.83	0	0	0
Two Sigma Securities, LLC(SOHO)	17	0.99	17	1.25	0	0	0	0	0	0	0
Members Exchange(MEMX)	5	0.29	0	0	0	0	5	1.62	0	0	0
UBS Securities, LLC(UBSS)	2	0.12	2	0.15	0	0	0	0	0	0	0

Material Aspects:

May 2022

Non S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
3964	100	3741	94.37	35	0.88	175	4.41	13	0.33

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	1473	32.86	1404	37.53	13	35.14	48	7.02	8	38.1	0
VIRTU Americas, LLC(NITE)	865	19.3	840	22.45	7	18.92	13	1.9	5	23.81	0
G1 Execution Services, LLC(ETMM)	781	17.42	763	20.4	7	18.92	11	1.61	0	0	0
Jane Street Capital, LLC(JNST)	700	15.61	687	18.36	6	16.22	7	1.02	0	0	0
NYSE Arca(ARCA)	254	5.67	0	0	0	0	254	37.13	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	158	3.52	0	0	2	5.41	156	22.81	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	64	1.43	0	0	0	0	64	9.36	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	57	1.27	0	0	0	0	57	8.33	0	0	0
New York Stock Exchange(NYSE)	51	1.14	0	0	1	2.7	50	7.31	0	0	0
Two Sigma Securities, LLC(SOHO)	30	0.67	30	0.8	0	0	0	0	0	0	0

Material Aspects:

May 2022

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
42	100	13	30.95	1	2.38	28	66.67	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	20	46.51	9	69.23	0	0	11	37.93	0	0	0
Wolverine Execution Services LLC(WEXX)	12	27.91	0	0	0	0	12	41.38	0	0	0
Dash Financial Technologies LLC(DASH)	6	13.95	1	7.69	0	0	5	17.24	0	0	0
Susquehanna Capital Group(SUSQ)	4	9.3	2	15.38	1	100	1	3.45	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	2.33	1	7.69	0	0	0	0	0	0	0

June 2022

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1823	100	1715	94.08	26	1.43	75	4.11	7	0.38

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	642	31.49	609	35.51	12	41.38	20	6.94	1	14.29	0
G1 Execution Services, LLC(ETMM)	426	20.89	411	23.97	8	27.59	7	2.43	0	0	0
Jane Street Capital, LLC(JNST)	356	17.46	346	20.17	1	3.45	9	3.13	0	0	0
VIRTU Americas, LLC(NITE)	349	17.12	327	19.07	5	17.24	11	3.82	6	85.71	0
NASDAQ Execution Services, LLC(NSDQ)	148	7.26	0	0	1	3.45	147	51.04	0	0	0
New York Stock Exchange(NYSE)	81	3.97	0	0	1	3.45	80	27.78	0	0	0
Two Sigma Securities, LLC(SOHO)	17	0.83	13	0.76	1	3.45	3	1.04	0	0	0
UBS Securities, LLC(UBSS)	9	0.44	9	0.52	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	7	0.34	0	0	0	0	7	2.43	0	0	0
Members Exchange(MEMX)	4	0.2	0	0	0	0	4	1.39	0	0	0

Material Aspects:

June 2022

Non S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
5392	100	5200	96.44	27	0.5	156	2.89	9	0.17

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	1924	33.37	1884	36.23	6	16.67	33	6.5	1	4.76	0
G1 Execution Services, LLC(ETMM)	1219	21.14	1202	23.12	9	25	8	1.57	0	0	0
VIRTU Americas, LLC(NITE)	1073	18.61	1046	20.12	6	16.67	13	2.56	8	38.1	0
Jane Street Capital, LLC(JNST)	1006	17.45	991	19.06	5	13.89	10	1.97	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	154	2.67	0	0	0	0	154	30.31	0	0	0
NYSE Arca(ARCA)	135	2.34	0	0	8	22.22	127	25	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	69	1.2	0	0	0	0	69	13.58	0	0	0
Two Sigma Securities, LLC(SOHO)	54	0.94	54	1.04	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	43	0.75	0	0	1	2.78	42	8.27	0	0	0
Cboe BZX U.S. Equities Exchange(BATS)	31	0.54	0	0	0	0	31	6.1	0	0	0

Material Aspects:

June 2022

Option Contracts

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
32	100	11	34.38	4	12.5	17	53.13	0	0

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)
Citadel Securities LLC(CDRG)	14	43.75	9	81.82	1	25	4	23.53	0	0	0
Dash Financial Technologies LLC(DASH)	10	31.25	1	9.09	1	25	8	47.06	0	0	0
Wolverine Execution Services LLC(WEXX)	4	12.5	0	0	0	0	4	23.53	0	0	0
Susquehanna Capital Group(SUSQ)	3	9.38	1	9.09	2	50	0	0	0	0	0
Morgan Stanley & Company LLC(MSCO)	1	3.13	0	0	0	0	1	5.88	0	0	0

Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0

Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0
0	0	0	0	0	0	0