

Equity Services, Inc. - Held NMS Stocks and Options Order Routing Public Report

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1st Quarter, 2023

January 2023

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
981	100	864	88.07	49	4.99	65	6.63	3	0.31

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	392	36.98	347	40.21	21	42.86	22	15.49	2	33.33	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	266	25.09	236	27.35	16	32.65	14	9.86	0	0	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	232	21.89	205	23.75	11	22.45	16	11.27	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	53	5	50	5.79	0	0	3	2.11	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	51	4.81	0	0	0	0	51	35.92	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	27	2.55	0	0	0	0	27	19.01	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	14	1.32	13	1.51	0	0	1	0.7	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	10	0.94	7	0.81	0	0	2	1.41	1	16.67	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	6	0.57	0	0	0	0	6	4.23	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	6	0.57	5	0.58	1	2.04	0	0	0	0	0	0	0	0	0	0	0	0

Material Aspects:

January 2023

Non S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
5647	100	5406	95.73	35	0.62	194	3.44	12	0.21

Morgan Stanley & Company LLC(MSCO)	2	2.7	1	3.85	0	0	1	2.17	0	0	0	0	0	0	0	0	0	0	0
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Material Aspects:

February 2023

S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	977	100	844	86.39	29	2.97	99	10.13	5	0.51

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	387	34.31	338	37.64	10	34.48	35	17.86	4	80	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	278	24.65	251	27.95	9	31.03	18	9.18	0	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	258	22.87	232	25.84	5	17.24	20	10.2	1	20	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	73	6.47	0	0	0	0	73	37.24	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	67	5.94	61	6.79	4	13.79	2	1.02	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	29	2.57	0	0	0	0	29	14.8	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	15	1.33	0	0	0	0	15	7.65	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	7	0.62	6	0.67	1	3.45	0	0	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	7	0.62	7	0.78	0	0	0	0	0	0	0	0	0	0	0	0	0	0
UBS Securities, LLC(UBSS)	4	0.35	3	0.33	0	0	1	0.51	0	0	0	0	0	0	0	0	0	0

Material Aspects:

February 2023

Non S&P 500 Stocks

Summary

	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders	
Total Non-Directed Orders	4162	100	3973	95.46	39	0.94	146	3.51	4	0.1

March 2023

S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
1388	100	1252	90.2	42	3.03	93	6.7	1	0.07

Venues

Venue - Non-directed Order Flow	Non-Directed Orders	Non-Directed Orders(%)	Market Orders	Market Orders(%)	Marketable Limit Orders	Marketable Limit Orders(%)	Non-Marketable Limit Orders	Non-Marketable Limit Orders(%)	Other Orders	Other Orders(%)	Net Payment Paid/Received for Market Orders(USD)	Net Payment Paid/Received for Market Orders(cents per hundred shares)	Net Payment Paid/Received for Marketable Limit Orders(USD)	Net Payment Paid/Received for Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Non-Marketable Limit Orders(USD)	Net Payment Paid/Received for Non-Marketable Limit Orders(cents per hundred shares)	Net Payment Paid/Received for Other Orders(USD)	Net Payment Paid/Received for Other Orders(cents per hundred shares)
Citadel Securities LLC(CDRG)	520	35.3	473	37.81	23	54.76	24	13.56	0	0	0	0	0	0	0	0	0	0
VIRTU Americas, LLC(NITE)	429	29.12	396	31.65	12	28.57	20	11.3	1	33.33	0	0	0	0	0	0	0	0
G1 Execution Services, LLC(ETMM)	276	18.74	254	20.3	4	9.52	18	10.17	0	0	0	0	0	0	0	0	0	0
Jane Street Capital, LLC(JNST)	108	7.33	96	7.67	3	7.14	9	5.08	0	0	0	0	0	0	0	0	0	0
NASDAQ Execution Services, LLC(NSDQ)	47	3.19	0	0	0	0	47	26.55	0	0	0	0	0	0	0	0	0	0
New York Stock Exchange(NYSE)	42	2.85	0	0	0	0	42	23.73	0	0	0	0	0	0	0	0	0	0
Two Sigma Securities, LLC(SOHO)	17	1.15	17	1.36	0	0	0	0	0	0	0	0	0	0	0	0	0	0
StoneX Financial INC.(INTL)	12	0.81	11	0.88	0	0	1	0.56	0	0	0	0	0	0	0	0	0	0
Cboe EDGX US Equities Exchange(EDGX)	8	0.54	0	0	0	0	8	4.52	0	0	0	0	0	0	0	0	0	0
Members Exchange(MEMX)	8	0.54	0	0	0	0	8	4.52	0	0	0	0	0	0	0	0	0	0

Material Aspects:

March 2023

Non S&P 500 Stocks

Summary

Total Non-Directed Orders	Non-Directed Orders as % of All Orders	Total Market Orders	Market Orders as % of Non-Directed Orders	Total Marketable Limit Orders	Marketable Limit Orders as % of Non-Directed Orders	Total Non-Marketable Limit Orders	Non-Marketable Limit Orders as % of Non-Directed Orders	Total Other Orders	Other Orders as % of Non-Directed Orders
5323	100	4850	91.11	39	0.73	428	8.04	6	0.11

Venues

